INTERIM ACTION LEVELS (IALS) FOR DREDGED MATERIALS¹

Purpose:

1 To provide those countries which currently lack country-specific sediment chemistry action levels a set of interim (i.e. temporary) action levels (IALs) for sediment-associated chemical constituents to support dredged material management decision-making, until such time as those countries are able to develop their own, regionally appropriate, levels.

Approach:

2 Consistent with the Guidance for the Development of Action Lists and Action Levels for Dredged Material (IMO 2009), two action levels are derived, a lower level sediment concentration (Level 1), below which it is expected that there is a low probability of unacceptable contaminant-related effects associated with ocean disposal of dredged material, and an upper level sediment concentration (Level 2) above which ocean disposal of dredged material may pose an unacceptable contaminant-related risk without additional evaluation and/or the application of special engineering controls. In developing this interim set of action levels a comprehensive literature review and survey was undertaken to compile existing, published international action levels for dredged material management in a marine environment. Results of the compilation are summarized in tables 1 and 2 along with empirically derived effect levels and published naturally occurring background values (metals only), provided for comparative purposes.

3 To derive the IALs, the published action levels summarized in the tables 1 and 2 were pre-screened on a constituent-by-constituent basis. Only those constituents with four or more published action levels measured on mass dry weight basis (e.g. mg/kg) were utilized in the derivation of the IALs. As a consequence, the interim list includes values for metals (Arsenic, Cadmium, Chromium, Copper, Lead, Mercury, Nickel, and Zinc), total tributyltin (TBT), total polyaromatic hydrocarbons (PAHs) (based on a summation of 16 PAHs), total DDT, Lindane, and total polychlorinated biphenyls (PCBs) (based on summation of 7 ICES congeners). An outlier analysis was also performed on each constituent data set using Iglewicz and Hoaglin's multiple outlier test with modified z score outlier criteria of 3.5. If no outliers or a single outlier were detected the data was reanalysed using the Grubb's test (4 or more data points) or Dixon's test (3 data points).

4 Outliers identified through this analysis (red shaded cells in tables 1 and 2) were excluded in the subsequent derivation of the IALs. It is important to note that, although certain values identified as statistical outliers based on the data distribution were excluded in the derivation of the IALs, this does not imply that these values are inappropriate for their intended regional application.

¹

As approved by the London Convention/Protocol governing bodies in 2020 (LC 43/17, paragraph 4.10). Original document: LC 42/4/1, annex.

Lower Effect Levels Technically Derived Lower Action Limits - Country[®] Specific Published Crustal Abundance Concentrations (Metals) Eade Rudnioł shaw et and al.^{4,5} Fahrig⁶ Condie³ Gao et Simset Taylor and and Gao¹³ Upper Median Quartile Contam T20 BE^{15,17,22} BR²⁵ CA17,27 DE15,17 HK^{18,22} IT^{es} KR22 LV²⁵ NO16,17,28 PT-7 al." al.º MoLe nnan¹ Class nstituent TEL² CN timony 4.4 senic 8.2 7.24 12 0.3 50 20 2.5 80 4.4 4.8 4.3 0.075 0.09 0.676 0.6 0.5 2.4 0.079 80 0.102 0.1 0.1 1.5 0.098 52.3 85 50 120 112 60 100 65 90 120 100 100 35 80 35 80.0 88.5 25.5 17.0 34 46.7 18.7 65 20 70 34 46.7 20 40 100 50¹ 45 100 30 90 65 75 40 60 40 30 65 50 100 14 32 25 17 14 28 17 27.5 40' ietals (mg/kg ad 0.15 0.13 0.15 0.3 0.3 0.75 0.3 0.25 0.5 0.1 0.4 0.7 0.5 0.2 0.3 0.3 0.5 0.3 0.096 0.0123 0.056 0.05 0.1 0.1 0.71 0.84 rcury cke I 20.9 15.9 21 70 20.9 30 50 37 70 40 21 30 35 20 19 60 38 44 19 47 38.0 46 lenium 0.053 0.73 0.055 0.05 0.055 0.1 ver 0.1 170 1.00 100 410 572 1.07 124 200 1.00 120 200 276 200 200 200 200 70 71 6772 617 oobutultin utyltin Butyltins butyltin (µg/kg) trabutyltin tal TBT 3 0.000007 cenacthene 6.71 10 16 enapthylen 44 5.87 44 85.3 10 nthracene 85.3 46.9 arene 10 21.2 21 160 aothalene 160 34.6 160 35 -Methylnapthal 20.2 50' 240 552 240 87 henanthrene 86.7 240 btal LMW PAHs 34 280 293 enz(a)anthracene 261 74.8 430 88.8 230 3007 430 300 nzo(a)pyrene 800' anzo(ahi)perviene 1700 800 anzo(b)fluoranthene 400 40 200 PAHs (µg/kg) enzo(k)fluoranthene 200 20 108 70 200 100 108 300 1100 trysene teno(123-cd)pyrene 1700 600 600 benz(a,h)anthracene 63.4 600 6.22 60 600 300' 300 ouranthene 600 6.65 15.3 500 153 6363.F Total HMW PAHs 1700 655 1700 4022 Total PAHs[®] 10000 70000 1684 10000 Total PAHs 6⁸ otal PAHs 9 3000 5000 4000 3.76 tal PAHs 10 1000 otal PAHs 16 4000 5426 6790 1800 4000 900 2000 2000 371 2542 2500 4789 btal PAHS 18 hiordane 0.5 2.26 0.5 2.67 oldida 2.07 0.8 DD Pesticides 2.07 1.19 1.8 (µg/kg) stal DDT¹ 1.58 3.89 0.1 10 27 didin 0.22 dane (BHC, ga 0.32 PCB IUPAC 28 CB IUPAC 52 PCB IUPAC 101 PCB IUPAC 118 4 PCB IUPAC 138 4 20 PCB IUPAC 153 4 20 PCB IUPAC 180 31 Total PCBs Sum of Individual Congeners (ICES 7)^N 22.7 з4 22 Total POBs as Arodon Total POBs' 22.7 21.6 23 2000* 0.03 Dioxins/fura (ng/kg) otal TEO

Table 1: Compendium of Published Lower Action Levels used in the derivation of the Level 1 IALs (Table 3), relevant lower effect levels and background concentrations (metals only). Red shaded cells indicate statistical outliers not included in derivation of IALs.

¹ FRL: Effect Range Low, TEL: Thre shold Effects Level, T20 Thre shold 20th Percentile; ⁶ ISO 3166 Country Codes: AU Australia, BE Belgium, BR Brazil, CA Canada, CN China, DK Denmark, EE Estonia, R. Finland, FR France, DE Germany, HK Hong Kong, IE Ireland, IT Italy, KR: South Korea, LV Latvia, NL Nettherlands, ND Nonway, PT Portugal, ES Spain, ZA South Africa, UK United Kingdom; * Action Level ¹⁹ utilized for lower threshold value; ⁶ summation of PAHs indetramination, ¹ DePAHs (Buocardhene, benzighliperytene, ¹ Summation of 12 PAHs (Raphthalene, Acenaphthylene, anthracene, fluoranthene, prevene, prent, anthracene, encologiliperytene); ¹ Summation of IVBAHs (Belgium, Brandin JPAHs, Benzil, Janthacene, encologiliperytene); ¹ Summation of PCBs indeterminate); ¹ Summation of IVBAHs (Belgium, Brandin JPAHs, Stanger **Table 2**: Compendium of Published Upper Action Levels used in the derivation of the Level 2 IALs (Table 3) and relevant upper effect levels. Note: No statistical outliers identified.

	Upper Effect Levels - Technically Derive d ^A			nically	Upper Action Limits - Country [®] Specific																						
Contaminant									17				- 15 17 17					24			. 16.17		-17				Upper
Class	Constituent	ERM	PEL	ALT	T80 -	AU-	BEngela	BK	CA	CN	DR	EE	FL	FR	DE	HK	IE-ore I		KIC-	LV N	Lucia	NO	·T.	B	ZA (JK	Quartile [*]
	Antimony	71	A1 6			25	2 100	20		100		50	60 ^T	60	120	4.2	20	-20	20	160	-20	70	500 ^w	280 ^{V.W}	09	100	1000
	Cadmium	9.6	41.0		3 49	10	100	7.2		100	2.6	20	2.5 ^T	2.4	4.5	42	4.2	0.8	10	12.5	4	15	10		9.6	100	100
	Chromium	370	160	67	2 410	370	220	370		300	270	800	270	180	360	160	370	150	370	750	120	5900 ^W	1000 ^W	1000 ^{V.W}	370	400	370.0
	Copper	270	108	390	280	270	100	270		300	90	500	90 ^T	90	90	110	110	52	270	200	60	55	500 ^w	675 ^{V.W}	390	400 ^w	367.5
Metals (mg/kg)	Lead	215	112	400	297	220	350	218		250	200	600	200 ^T	200	270	1.10	218	20	220	500	1.10	100	1000 ^w	600	530	500	500.0
	Mercury	0.7	0.696	0.41	1 1.7	1	1.5	1	·	1	1	10	1 ^T	0.8	2.1 ^w	1	0.7	0.8	1.2	5	1.2	0.86	10 ^w	2.84	1.5	1.5	1.2
	Nickel	51.6	5 42.8	110	0 147	52	280 ^W	51.6			60	500	60 ^T	74	210	40	60	75	52	250	45	120	250 ^w	234 ^{V,W}	370 ^w	200	140.0
	Selenium																										
	Silver	3.7	1.77	3.1	1 5.8	3.7	·									2											
	Zinc	410	271	410	0 636	410	500	410		600	500	1500	500 [*]	552	900	270	410	150	410	1750	365	590	5000 ^w	1640 . 0	960	800	597.5
	Monobutyltin																										
Butyltins	Dibutyltin																										
(ug/kg)	Tributyltin																										-
(16) (6)	Tetrabutyltin												T														
	Total TBT ^v		ļ			70*	7	1000			200	-	200.	4000"	300	150	500	72		60	250	20		200*		1000**	500.0
	Acenapthene	500	88.9	130	0 714	-		500	l					260	<u> </u>												
	Actional Try Items		128	71	1 1418			640	[2												
	Anthracene	1100	245	280	2486	×		1 100					100'	590				245									
	Fluorene	540	144	120	665	-		540					1001	280				144									
	2 Methodopo	2100	391	230	1569			2100					100	1130	<u> </u>			391									
	2 - Waiting in approximation	160	201	66/	4 767			1600					500 ^T	820	· · · · ·			Edd									1
PAHs (µg/kg)	Total LMW PA Ha ⁿ	2160	1442	1200	0	1		1.000						0.0		3160											
	Boost (a) and the second				-			800					1.000 ^T	090		1100		5.000									
	Benzele berrene	160	2 603	964	3535			280					3000 ^T	330				500									
	Benzo(abi)oendene	1140						700					80.00 ^T	565.0				100									
	Banzo(b) fuorant bana													900				100									
	Benzo(k)fluoranthene												2000 ^T	400													
	Chrysene	280	846	950	5186			850					1 10 00 ^T	1590				846									1
	Indeno(123-cd)pyrene								· · · · · · · · · · · · · · · · · · ·				60.00 ^T	5650	1			100									
	Dibenz(a,h)anthracene	260	135	230	685			140						160													
	Flouranthene	5100	1494	1300	0 8952			5100					30.00 ^T	2850				1494									
	Pyrene	2600	1398	2400	6982	:		2600						1500				1398									
	Total HMW PAHa ^P	9600	6676	7900	0											960.0											
	Total PAHa ^{tt}	44792	2 16770			50000)																				
	Total PAHa 6"																										
	Total PAHa 9										30000	200000							45000					18800 ^v			
	Total PAHa 10 ¹																				8000						
	Total PAHa 16 ^K						6478				47805		44410 ^T	24115	5500						11481	8618	20000		ſ	12760	34262.5
	Total PAHS 18											1															
	Chlordane	•	5 4.79	2.8	8	6	>											4.8									
	Dieldrin	2	4.3	1.5	9 10	2/0	2	62.4										4.3		40							
	000	20	7.61		3 3 4 4	20		3/4										2.8		10							17.5
Pesticides	DDT		7 374		3 3414	27		4.77										3.7									
(148/148/	Total DDT ^M		54.77			1				100			30 ^T					4.0				400W					720
	Eader	40.	<u> </u>	11	·	46				100			- CA.		12			10			20	458.7					73.0
	Lindane (BHC, gamma)		0.99	A \$	8	120		4.79							1.5			01.									1.0
	000 11040 00		6.23	4.1									T	10			1	-									1.4
	PCB IOPAC 28												30	10	2		100			6							-
	PCB IUPAC 52												30	10			180			3							-
	PCB IUPAC 101												30'	20	•		180			3							
	PCB IUPAC 118												30'	20	1		180			10							
	PCB IUPAC 138												307	40			180			12							
	PCB IUPAC 153												307	40			180			15							
	PCB IUPAC 180												30	20			180			б							
	Total PCBs Sum of														1 I							r r					
	Individual Congenera				1	1							24-7								400			V			
	Total BCBs as						50	180		600	200		210'	160	40		1260 ^w				100	190	300	540 ^v		140	210.0
	Araclara				1	1										190											80
	Total PCBa [#]	19/	180	13/	3926							1			1	+00		60	180						-	180	
Dioxins/furges						1													1160							1187	
(ng/kg)	Total TEQ ^Q		21.5	3.6	6	1							500 ⁷	1000				10									1000.0
A ERM- Effect Ra	nge Median, PEL- Probal	ble Effects	Level, AET	- Apparen	t Effects TH	treshold, T	80-Thresho	ld 80th Pe	rcentile;	⁸ ISO 3166 C	ountry Co	les: AU-Au	stralia, BE-I	Belgium, E	BR-Brazil, CA	A-Canada,	CN-China, E	K-Denma	rk, EE-Estc	onia, FL-Finla	and, FR-F	rance, DE-G	iermany,	HK-Hong K	ong, IE-Irela	nd, IT-Ita	lly, KR-

⁶ ERM- Effect Range Mudian, PEL- Probable Effects Inveshed; API-Apparent Effects Thresheld 80th Percentile; ¹⁸ ISO 3166 Country Codes: AU-Australia, BE-Belgium, BR-Brazil, CA-Canada, CN-China, BE-Fistonia, FE-Finland, FR-France, DE-Germany, HK-Horg, ISO-Thresheld 80th Percentile; ¹⁸ ISO 3166 Country Codes: AU-Australia, BE-Belgium, BR-Brazil, CA-Canada, CN-China, BR-Brazil, CA-Canada, CN-China, BE-Fistonia, FE-Finland, FR-France, DE-Germany, HK-Horg, ISO-Thresheld 81: South Sore as U-Australia, BE-Belgium, BR-Brazil, CA-Canada, CN-China, Su-Dhormark, EE-Istonia, FE-Finland, FR-France, DE-Germany, HK-Horg, ISO-Thresheld 81: South Sore as U-Australia, BE-Belgium, BR-Brazil, CA-Canada, CN-China, Su-Dhormark, EE-Istonia, FE-Finland, FR-France, DE-Germany, HK-Horg, South Africa, UX-United Kingdom; ² Summation of PAHs indeterminate; ¹⁸ Summation of PAHs (Interactine, benzo(b)fluoranthene), benzo(a)pyrene, benzo(b)fluoranthene, b

5 Four alternative approaches for the derivation of IALs were assessed:

Alternative 1: Lower IAL (Level 1) calculated as the lower 25th percentile of the prescreened, published lower action levels for each constituent and upper IAL (Level 2) calculated as the upper 75th percentile of the pre-screened, published upper limits;

Alternative 2: Level 1 and level 2 IALs calculated as the median of the pre-screened lower and upper limits;

Alternative 3: Level 1 calculated as the upper 75th percentile of the published lower action levels for each constituent and level 2 calculated as the lower 25th percentile of the pre-screened upper limits: and

Alternative 4: Level 1 and level 2 IALs calculated as the lower 10th percentile of the pre-screened lower and upper limits.

Among the four alternative derivation methods evaluated, Alternative 1 (i.e., lower 25th; upper 75th) provided for a higher level of confidence in accurately identify toxic and nontoxic samples but yielded a larger percentage of samples potentially falling between the two limits and therefore requiring further evaluation. Alternative 3 (i.e., upper 75th; lower 25th) provided for the smallest number of samples potentially requiring further evaluation at the possible expense of incorrectly identifying non-toxic samples as toxic and toxic samples as non-toxic. The remaining two approaches (Alternative 2 [median] and Alternative 4 [lower 10th percentile]) attempted to strike a balance between the two extremes (i.e., ensure environmental protection [correct identification of toxic and toxic samples] while maximizing practical utility [smaller number of samples potentially requiring further evaluation]).

An additional "ground-truthing" step for each of the derivation alternatives included comparison of the derived interim Level 1 concentrations for metals to published crustal abundance concentrations (Table 1) for metals to ensure that the calculated lower level concentrations were elevated relative to published, naturally occurring, concentrations. For those metals where the derived Level 1 concentration was within the range of reported naturally occurring levels (chromium and nickel [Alternatives 1 & 2]), the upper 75th percentile of the background range was utilized as the Level 1 threshold. A comparison to other, empirically derived, effect levels was also conducted to ensure that the levels were consistent (i.e., within a factor of 2-3) with published low probability of effect concentrations (e.g., ERL, TEL's etc.) and higher probability of effect concentrations (ERM, PEL's etc.). IALs derived utilizing the 4 different approaches are summarized in Table 3.

8 An evaluation of the four approaches was conducted by Canada utilizing a database of 1,079 co-located sediment chemistry and toxicity test results from ambient monitoring studies conducted around the coasts of the United States (as described in document LC/SG 41/INF.8). The sediment results were used to compare the performance of the four alternative IAL derivation methods and various national action levels for the same list of contaminants. Results of this analysis are summarized in LC/SG 42/2/4.

9 Based on results of this analysis, the correspondence group determined that the approach utilizing the median values (Alternative 2 – highlighted columns in Table 3 struck an appropriate balance between environmental protection and practical utility and recommended that this alternative be utilized for calculation of IALs moving forward.

Application of IALs:

10 The IALs (those values presented in Table 3; the shaded columns) may be utilized on a temporary basis to support dredged material management decision making and should be applied in a manner consistent with the approaches outlined in LC/SG 40/WP.6 Annex (2017), the guidance document for the development of action list and action levels (IMO 2009) and the Waste Assessment Guidelines (IMO 2014).

Other Considerations and Recommendations:

11 It must be emphasized that the interim values provided in Table 3 are intended for use only until such time as a country can develop more regionally appropriate values. Further, while a certain level of conservatism was utilized in the derivation of the IALs, no guarantee can be given as to the level of protectiveness for any particular region, without additional regional-specific validation, since IALs do not account for unique regional sediment types, geomorphological characteristics and/or species of concern. In addition, countries should note that the interim values provided in table 3 represent a limited number of common contaminants of concern and consequently may not include contaminants of concern unique to a particular country or region. While the interim values are best used as a suite of values with measurement and evaluation of all constituents, there may be instances where a subset of the interim values could be used as dictated by constituents of local/regional concern and/or the availability of analytical capabilities dictate. The interim values are intended as screening tools and should always be used in conjunction with other lines of evidence (such as results of ecotoxicity and bioaccumulation assessments) for purposes of management decisionmaking.

12 It is recommended that the IALs be reviewed every five years (at a minimum) to accommodate any revisions/additions to published country-specific ALs used in their derivation and provide opportunity for consideration of any relevant scientific advances. During this review period additional constituents may be considered as well as alternative approaches (providing there is sufficient technical justification). Finally, while the current set of IALs do not address the potential for indirect effects via bioaccumulation, it is possible that in the future, such an approach may be developed at which time development of IALs for protection against potential indirect effects may be considered.

Constituent			Level 1			Level 2						
Constituent	Alt. 1	Alt. 2	Alt. 3	Alt. 4	NE	Alt. 1	Alt. 2	Alt. 3	Alt. 4	NE		
Arsenic (mg/Kg)	16	20	20	11	16	100	70	50	38	18		
Cadmium (mg/Kg)	0.6	1.1	2.2	0.4	20	10	6	4	2.5	20		
Chromium (mg/Kg)	89 ^F	89 ^F	100	48	7	370	360	200	156	17		
Copper (mg/Kg)	35	45	65	20	17	368	155	90	60	20		
Lead (mg/Kg)	49	65	86.3	39	18	500	220	200	108	19		
Mercury (mg/Kg)	0.3	0.3	0.6	0.2	20	1.2	1	0.9	0.8	15		

Table 3: Summary of Interim Action Levels (IALs) derived via four different approaches. (shaded columns indicate IALs derived using the preferred approach).

Nickel (mg/Kg)	45 ^F	45 ^F	53	20	7	140	60	52	47	14
Zinc (mg/Kg)	150	200	276	130	19	600	500	410	318	16
Total TBT (µg/Kg) ^A	3	5	8	7 x 10 ⁻⁶	9	500	200	72	60	11
Total PAHs 16 (µg/Kg) ^B	2000	3100	4600	1200	12	34000	12800	7500	6200	9
Total DDT $(\mu g/Kg)^C$	1.3	10	15	0.1	9	73	20	8.5	7.8	5
Lindane (µg/Kg)	0.3	0.4	0.4 ^G	0.3	6	1.4	1	1	1	4
Total PCBs (µg/Kg) ^D	14	20	23	7.9	12	210	180	100	50	11

^A Summation of Mono-, Di-, Tri-, and Tetrabutyltins.

^B Summation of 16 PAHs (Naphthalene, Acenaphthylene, Acenaphthene, Fluorene, Phenanthrene, Anthracene, Fluoranthene, Pyrene, Benz[a]anthracene, Chrysene, Benzo[b]fluoranthene, Benzo[k]fluoranthene, Benzo[a]pyrene, Dibenz[a,h]anthracene, Benzo[ghi]perylene, Indeno[1,2,3-cd]pyrene).

^C Summation of DDD, DDE, and DDT isomers. ^D Summation of the ICES-7 PCBs (CB28, 52, 101, 118, 138, 153, and 180).

^E Number of values used in derivation.

^F Lower limit based on upper 75th percentile of crustal abundance distribution. ^G Median used in lieu of upper 75th percentile for level 1 value as value based on 75th percentile would be higher than level 2 value as a consequence of differences in the data distributions of the country specific ALs in tables 1 & 2 for Lindane.

References:

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